# 1Quantitative Electrochemical DNA Microarray on a Monolith Electrode with210 Attomolar Sensitivity, 100% Specificity, and Zero Background

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## 12Quantitative Electrochemical DNA Microarray on a Monolith Electrode with1310 Attomolar Sensitivity, 100% Specificity, and Zero Background

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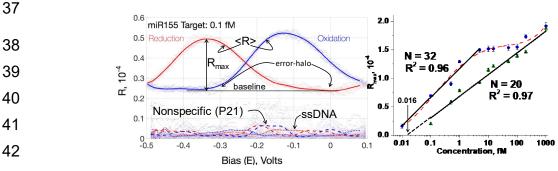
## 20 Academic titles (in order as they appear): Senior Scientist; Engineer; Senior

- 21 scientist; Assistant Professor; Senior Scientist; Professor; Professor.
- 22

### 23 Abstract

24 Circulating microRNA are promising diagnostic and prognostic biomarkers of disease in 25 quantitative blood tests. A label-free, PCR-free, electrochemical microarray technology 26 on a monolith electrode is described, with 10 attomolar (aM) sensitivity and 27 responsiveness to binding of < 1 zeptomole targets to immobilized ssDNA probes with 28 zero background. Specificity is 100% in a mixture with five nonspecific miRNA each with 29 a 10<sup>3</sup>-fold higher concentration. Direct measurement on plasma-derived miRNA without 30 cDNA conversion and PCR demonstrated multiplexing and near ideal quantitative 31 correlation with an equivalent pure sample. The dynamic range is a target concentration ranging from 10<sup>-2</sup> to 10<sup>3</sup> femtomolar (fM). This PCR-free novel technology can be applied 32 33 as a test for cancer diagnosis/prognosis to detect 10<sup>3</sup> copies of a miRNA sequence in 34 RNA extracted from 100 µL of plasma.

Keywords: microarray • DNA sequencing • microRNA • cancer diagnosis • blood test •
 electrochemical analysis



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**TOC Figure:** A DNA chip technology is developed to measure binding to microarray on
a monolith electrode by probing local redox on each spot. The redox peak, R<sub>max</sub>, was
zero for no-binding (ssDNA) and non-specific binding. The binding is enhanced
electrochemically in <30 mins to attain dynamic range of 10<sup>-2</sup> to 10<sup>3</sup> femtomolar. The
method is applied to measure microRNA extracted from plasma with no PCR and cDNA
conversion.

Cell-derived circulating microRNA (miRNA) in blood, urine, and saliva could be utilized to 50 51 develop a blood test for the diagnosis of diseases and for personalized therapy. It was 52 recently discovered that most circulating miRNAs in blood are cell free and are 53 remarkably stable in body fluids because of complexation with argonaute proteins,<sup>[1]</sup> 54 making them attractive for screening and early detection of diseases, particularly 55 cancer.<sup>[2;3]</sup> MiRNAs are noncoding ~22 nucleotide (nt) long, single-stranded RNA 56 (ssRNA) sequences that bind to mRNA by their 5'-seed region (2-8 nt long) to negatively 57 regulate mRNA expression.<sup>[4]</sup> MiRNAs regulate ~60% of genes involved in a range of 58 cellular activities, such as cell cycle, proliferation, differentiation, metabolism, 59 inflammation, and immune response.<sup>[5;6]</sup> As one miRNA can regulate multiple mRNAs and multiple miRNAs can regulate a single mRNA,<sup>[7]</sup> a panel of miRNA is required to 60 61 diagnose cancer at high specificity.<sup>[8]</sup> Meta-analyses for a variety of cancers, for example, 62 colorectal,<sup>[9]</sup> lung,<sup>[10]</sup> and pancreatic<sup>[11]</sup> cancer, suggest that profiling dysregulation of 63 several miRNA sequences can lead to diagnosis at over 85% sensitivity and specificity. 64 Thus, the challenge in developing a screening test is to quantitatively profile a panel of miRNA sequences with proper controls <sup>[12]</sup> at a sensitivity of ~10 copies in RNA extracted 65 from a µL of blood <sup>[13]</sup> over a dynamic range of five orders. <sup>[14]</sup> 66

67 The small size of miRNAs (unfortunately) leads to large variations in melting temperature 68 due to small differences in sequence, making it difficult to multiplex a large number of 69 sequences using qPCR, the gold standard.<sup>[15]</sup> Furthermore, synthesis of cDNA from miRNA by reverse transcriptase (RT) requires ~  $10^5$  copies for efficient conversion.<sup>[16;17]</sup> 70 71 Hence, RNA from ~10 mL of plasma is required to detect 10 copies in extracted RNA. To 72 avoid PCR, several approaches have been developed to obtain a limit of detection (LOD) 73 in the fM to aM range: the nanopore translocation method,<sup>[18]</sup> an electrochemical transistor made from nanowires,<sup>[19]</sup> surface plasmon resonance in nanoprisms,<sup>[20]</sup> and 74 differential pulse voltammetry from nanoelectrodes.<sup>[21]</sup> which have reported LODs of 100 75 76 fM, 1 fM, ~ 40 aM, and 100 aM, respectively. A chip with a nanoelectrode array has been 77 shown to directly measure multiple miRNA sequences from plasma without the cDNA 78 conversion and PCR.<sup>[22]</sup> The analysis is usually nonabsolute, requiring a difference in 79 signals before and after the binding between the probe and the target miRNA.<sup>[19-22]</sup> which 80 may amplify error. Individual drops on each microspot need to be placed to confine the diffusion length.<sup>[19;21;22]</sup> which may limit the device density and result in possible error due 81 82 to solvent evaporation.

83 In this study, an electrochemical microarray patterned on a monolith electrode was 84 developed resulting in robust statistics. To gain high sensitivity, a method was developed 85 to focus ~1,800 molecules to microarray spots from ~0.3 mL solution and measure <1 86 zeptomole target-to-probe binding. The combination allowed the technology to obtain sensitivity of 10 attomolar (i.e., ~0.15 fg/µL) with 100% specificity over a dynamic range 87 88 of five orders of magnitude. The unique feature not shown before is absolute signal with 89 zero background, i.e., the signal for no binding is zero (which was confirmed for every 90 chip).

For the study, using a 1.2 by 1.2 cm chip with five longer and two shorter (control) electrodes, an array of seven and three 50-µm holes (i.e., spots) were patterned on a photoresist, respectively, using photolithography (**Fig. 1(a)**, and **Supporting Information** (SI), Sections S.1 and S.2, for details). Single-stranded DNA (ssDNA) probes with thio-

95 terminated sequences were immobilized on the spots of an exposed Au electrode (SI, 96 Section S.3). The binding was performed in a solution of target molecules in 100 mM of 97 phosphate buffer (PB) with 50 mM of auxiliary ion [Fe(CN)<sub>6</sub>]<sup>4-</sup> by cyclic voltammetry (CV) 98 (Fig. 1(b)). The CV ramp potential (V) applied between the working electrode (WE) and the reference electrode (RE) was from -0.4 to 0.3 V at a ramp rate of 50 mV/s. The amount 99 of binding on each of the five electrodes was controlled by the number of cycles, N. 100 101 Importantly, although the electric-field-influenced binding has been demonstrated 102 before,<sup>[23]</sup> the novelty here is the significant enhancement in binding due to the inclusion 103 of redox ion, [Fe(CN)<sub>6</sub>]<sup>4-</sup>. As the positive potential attracts the negatively charged target 104 molecules, beyond +220 mV, [Fe(CN)<sub>6</sub>]<sup>4-</sup> oxidizes to [Fe(CN)<sub>6</sub>]<sup>3-</sup> causing the EDL to discharge to enhance the electric field penetration from 10<sup>2</sup> nm (at no redox) to typically 105 106 10<sup>0</sup> µm (during redox reaction).<sup>[24,25]</sup> The deeper penetration of the electric field is independently shown by differential interferometry where the ion oscillation was 107 108 significantly enhanced, leading to a peak at V close to redox.<sup>[25;26]</sup> The binding process is called electrochemical redox enhanced binding (EREB). The effect of [Fe(CN)<sub>6</sub>]<sup>4-</sup> on 109 110 binding efficiency is discussed later. Subsequent to vigorous washing, the uncovered 111 area of the electrode was coated with a monolayer of mercaptohexanol (MCH) at 37 °C 112 (SI, Sections S.1 and S.4).

113 Binding of targets to an array of probes on a monolith electrode was measured 114 electrochemically by scanning a laser. It is known that methylene blue (MB) undergoes 115 redox by specifically binding to the probe-target duplex by electron transport through  $\pi$ π stacked base pairs.<sup>[27]</sup> A differential reflectometer was designed and developed to 116 117 measure local redox of MB on each microarray spot on the electrode (Fig. 1(c)). 118 Reflectivity measurements were performed in 100 mM of PB containing 10 µM of MB and 50 mM of [Fe(CN)<sub>6</sub>]<sup>4</sup>. The latter is the mediator for MB redox.<sup>[27]</sup> The reflectivity was 119 120 performed during CV with a potential (E) from -0.5 to 0.1 V to cover the redox of MB (at 121  $\sim$ -0.2 V). To measure differential reflectivity, a periodic AC potential of frequency, 122  $\omega$  = 2 KHz, and an amplitude of 100 mV was added to the CV ramp. The detector 123 measured a DC signal corresponding to incident intensity,  $R_0$ , and an AC signal at  $\omega$  due 124 to oscillation in the reflected light intensity caused by the oscillation of the ions due to the 125 AC potential at  $\omega$  (see SI, Section S.5 for the mechanism). The amplitude of the AC 126 signal,  $R_A$ , was obtained from the lock-in amplifier tuned to  $\omega$  to measure differential 127 reflectivity,  $R = R_A/R_0$ , as low as 0.001%.

128 Typical raw data on a spot with ssDNA probe, P155, that specifically binds to target T155 129 (ssDNA equivalent of miR155), showed oxidation and reduction peaks for MB (Fig. 1(d)). 130 The peaks were because the ion oscillation was maximum for E at maximum redox 131 currents owing to deeper penetration of the electric field into the solution caused by discharge of the EDL.<sup>[25;26]</sup> As R corresponds to charge at the interface, the reflectometer 132 133 is called a scanning electrometer for electrical double layer (SEED). Superposing the 134 various cycles of R(t) and filtering the high frequency noise, the average reflectivity, 135 <R>(E), was obtained from ~20 cycles (Fig. 1(e) and Fig. S5 in SI). The small error halo 136 indicated that the oscillation of R was highly periodic leading to statistically robust Rmax. 137 As the signal is a reduction of MB, R<sub>max</sub> is defined with respect to the reduction peak. The 138 signal has a baseline because of ubiguitous oscillation of the ions due to AC potential at 139 2 KHz at all potentials, E, related to the optical properties of the solution (see SI, Equation 140 (4)). All subsequent data presented is after baseline subtraction on the reduction peak.

141 Importantly, on the same electrode, the spot with a nonspecific probe (i.e., P21) showed
142 no MB redox peak. Thus, the specificity from differential reflectivity was 100%. The
143 specificity was confirmed for each electrode on the chip. Specificity in a more aggressive
144 environment is described in Fig. 3.

145 The control electrode incorporated in every chip is an important unique feature of this 146 method. No potential was applied during EREB on the shorter control electrodes. Each 147 control electrode had a blank (i.e., bare Au) spot and one spot each with immobilized 148 P155 and P21. All spots were covered with an MCH monolayer after EREB. For good 149 quality data, all of the six spots on the two control electrodes should show no redox. A 150 signal on the blank spot indicates poor MCH filling which would lead to poor specificity 151 where the signal could erroneously estimate target copies by as high as 3-fold (SI, 152 Section S.6). Importantly, the spots with P155 and P21 probes on the control electrode 153 should show no redox indicating that the signal is zero, if no binding occurs (i.e., ssDNA 154 in Fig. 1(e)). Thus, the R<sub>max</sub> reported will be an absolute measurement of the amount of 155 specific binding. The absolute nature of the signal ensured for every chip is a unique 156 feature of the method not reported before.

- 157 At constant, N, as expected, E versus  $\langle R \rangle$  (at N = 20) showed a monotonic decrease in 158 the overall signal as the concentration decreased from 1 pM to 0.1 fM (Fig. 2(a)). By 159 increasing N from 20 to 32 cycles, the binding increased significantly to observe a signal 160 from a target concentration of 0.01 fM (Fig. 2(a)). R<sub>max</sub> at constant N (= 20) increased 161 linearly (at a fitness of 97%) with a target concentration on a logarithmic scale of over four 162 orders of magnitude (**Fig. 2(b**)). Each  $R_{max}$  in the calibration curve for N = 20 and 32 (**Fig.** 163 2(b)) was an average of over 15 points (i.e., three points with a 6 µm laser beam on each 164 of the five 50 µm spots on the electrode). Thus, five target concentrations were measured on each chip. The error bar was the standard deviation. The small error bar indicated 165 166 excellent uniformity of immobilization, and reproducibility of the measurement. 167 Extrapolation to  $R_{max} = 0$  (Fig. 2(b)) indicated that the LOD for N = 20 and 32 was ~16 168 aM and 3.9 aM, respectively. The practical sensitivity for the study was 10 aM or 0.15 fg/µL. For 0.3 mL solution during EREB, 10 aM corresponded to ~1,800 molecules. 169 170 Uniform binding of over five array spots, implied that SEED can detect binding of <360 171 target molecules, i.e., a responsivity of <1 zeptomole.
- 172 Using SEED, the nature of the EREB process was characterized. Due to the increase in 173 a larger penetration depth due to oxidation of [Fe(CN)6]<sup>4-</sup> noted above, the R<sub>max</sub> increased 174 from ~0.3 x 10<sup>-4</sup> to 1.3 x 10<sup>-4</sup> for 1 fM of target due to the addition of 50 mM [Fe(CN)<sub>6</sub>]<sup>4-</sup> 175 during the EREB process at N = 32 cycles (Fig. 2(c)). Based on Fig. 2(a), this remarkable increase in R<sub>max</sub> by 1 x 10<sup>-4</sup> due to inclusion of [Fe(CN)<sub>6</sub>]<sup>4-</sup> was equivalent to an increase 176 177 in target concentration by tenfold. As the V ramped to negative values, the loosely 178 attached target molecules were repelled to reactivate the probes. The negative potential 179 of V = -0.4 V was sufficient for efficient repulsion of the nonspecific target to obtain the observed 100% specificity. 180
- Three types of samples were studied to evaluate multiplexing and specificity in complex systems. First, a synthetic mixture of miR155 (1fM) and miR21(10 fM) in a background of five miRNA each with a concentration of 1 pM (sequences and more detail shown in **SI**,
- **Section S.7**) was analyzed on a chip at different N (**Fig. 3(a)**). The R<sub>max</sub> for T155 was
- 185 consistent with values in **Fig. 2(b)**, indicating no interference from the background. The

target solution

counter electrode

bv 2D17

186 R<sub>max</sub> for T21 was higher than for T155 and was also consistent with a higher
 187 concentration. Thus, EREB/SEED can quantitatively measure multiple sequences in
 188 significantly larger background.

189 Second, RNA was extracted from 200 µL of plasma from a healthy donor and spiked with 190 C. elegans miR39a. After reconstituting the RNA in 300 µL of PB buffer, EREB was carried at 4 <sup>O</sup>C to avoid RNA degradation. The R<sub>max</sub> for miR39a in buffer (i.e., calibration 191 curve) was measured (Fig. 3(b)) and compared to Rmax spiked in plasma for a 192 193 concentration ranging from 10 aM to 1 pM (Fig. 3(b), inset). The correlation was 194 remarkable, showing that the effect of background due to other molecules in plasma is 195 negligible. Because it is possible to measure ~200 copies of target (i.e., 10 aM in 300  $\mu$ L) 196 extracted from 200  $\mu$ L of plasma, the method can safely measure ~10<sup>3</sup> copies of (specific) 197 miRNA extracted from 100 µL of plasma at zero background.

198 Third, to demonstrate multiplexing and guantitative comparison to gPCR, RNA was 199 extracted from the plasma of a colorectal cancer patient (CRC) and a normal donor (ND) 200 and spiked with miR39 of C. elegans. The copy number of each miRNA was normalized 201 with respect to R<sub>mx</sub> for a miR39a spike in the extracted RNA (using the calibration curve 202 for SEED (Fig. 3(b)) and the standard qPCR curve (SI, Fig. S8)). In all cases, the 203 dysregulation by SEED and qPCR was consistent; and the relative copy numbers were 204 quantitatively comparable (Fig. 3(c)). For miR34a, the values were in the opposite direction because the copy number was close to spiked miR39a; however, the 205 magnitudes were comparable (see SI, Section S.8 and Table). 206

207 In summary, we report a novel technology to electrochemically measure binding on a 208 microarray patterned on a monolith electrode. The sensitivity is 10 aM (i.e.,  $\sim 0.15$  fg/µL) 209 with a limit of detection of ~3.9 aM at 100% specificity and a dynamic range of five orders 210 of magnitude with robust statistics. By spiking plasma with miRNA, a direct analysis from 211 extracted RNA without PCR and cDNA conversion was illustrated with remarkable 212 correlation from 10 aM to 1 pM of spiked miR39a. Multiplexing on a single chip was 213 demonstrated by directly measuring five miRNA plus one negative control for RNA extracted from 200 µL of plasma from a healthy donor and a cancer patient. The 214 215 comparison to gPCR was quantitative for all of the miRNA targets. This label-free 216 technology could be implemented as a tool for prognostic and diagnostic application.

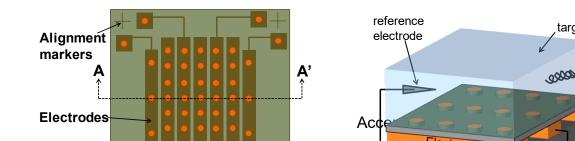
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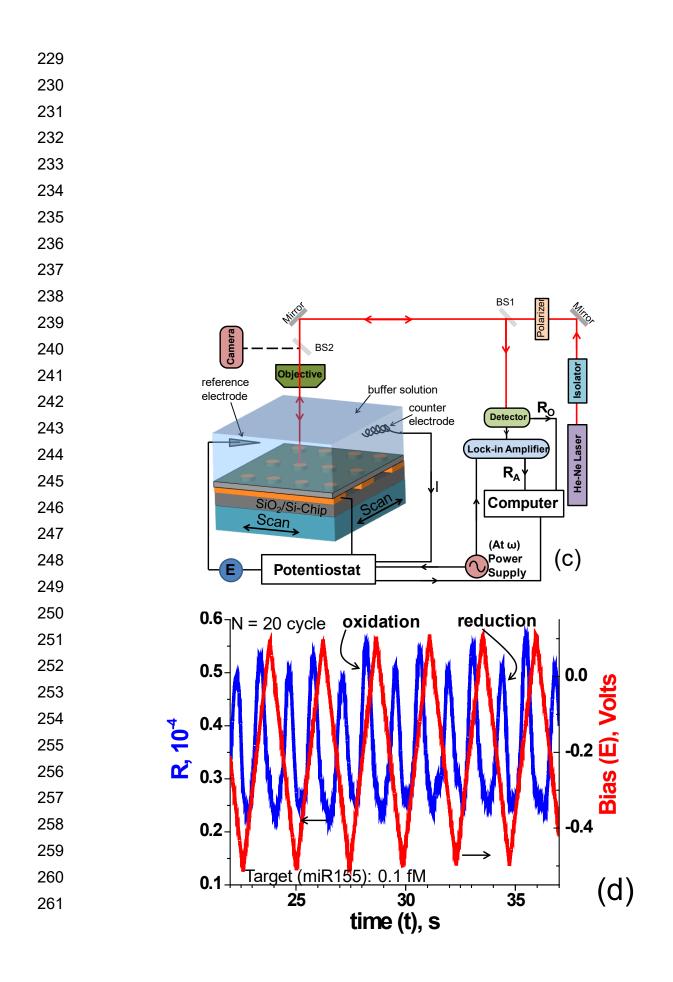
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 Department of Economic Development (16-01-171; 15-01-055) for financial support.

<u>Author contributions</u>: Shobana Raghunath conducted the majority of the experiments
 and data generation; Abhijeet Prasad contributed to instrumentation and data analysis;
 Rahul Tevatia and Raghunath contributed to plasma experiments; everyone contributed
 to experimental design, data interpretation, and manuscript preparation.

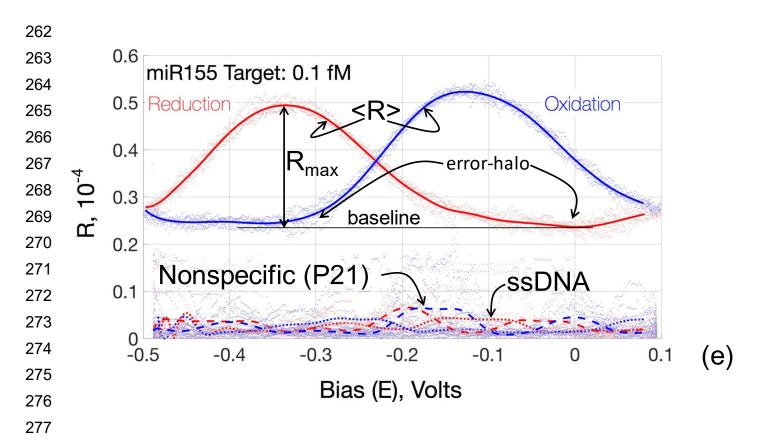
- <u>Supporting Information</u>: Details on the overall method, experimental condition, and chip
   fabrication, mathematical derivation of reflectivity modulation, and the effect of different
   fabrication conditions, such as MCH coverage, are discussed.
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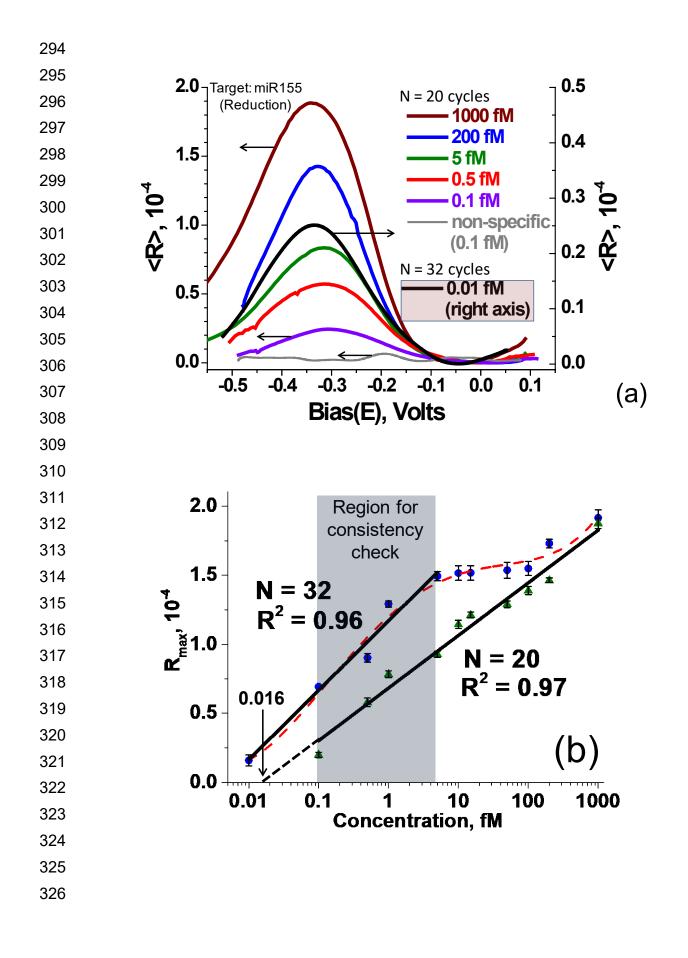


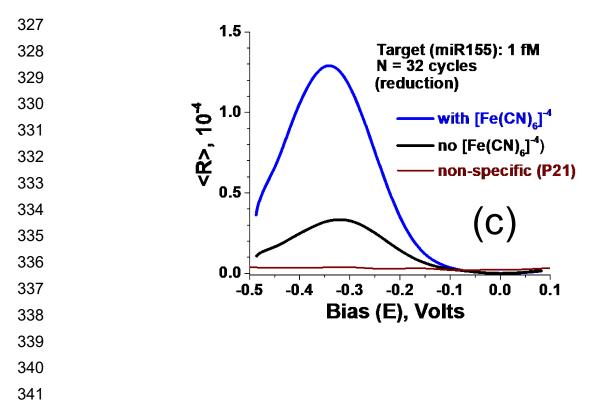
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278 Figure 1: (a) The electrodes and circuitry are on a  $\sim$  200 nm thick SiO<sub>2</sub> layer on Si. The 279 etched photoresist (SU8) exposes the underlying electrode (cross-section A-A', not to 280 scale). The shorter electrodes are controls where no EREB potential is applied. (b) The 281 EREB setup is a three-electrode system controlled by a potentiostat (AutoLab 282 PGSTAT302N). The RE and CE are Ag/AgCl and Pt wire, respectively. A potential, V, is 283 applied between the chip electrode (WE) and RE; and current, I, is measured between 284 WE and CE. (c) The differential reflectivity is measured during CV. As the potential, E, is 285 ramped between WE and RE, the modulation in reflected light intensity,  $R_A$ , at  $\omega$  is 286 amplified by the lock-in amplifier. The incident light intensity, Ro, is measured as a DC 287 signal. (d) Raw data: R and E as a function of time, t (for specific binding). Full scan is in 288 SI, Fig.S5. (e) E versus R with "error halo" corresponds to cycle-to-cycle variations. The 289 ssDNA is R from a spot with P155 on the control electrode not subjected to EREB. The 290 nonspecific spot corresponds to the spot with P21 on the same electrode as the specific 291 spots. R<sub>max</sub> is defined for the reduction peak with respect to the baseline.

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342 Figure 2: (a) Typical baseline corrected E versus <R> for various miR155 target 343 concentrations on the spot with P155 for EREB performed at N = 20. The LOD was 0.01 fM at N = 32 (right axis). (b) R<sub>max</sub> as a function of target concentration. Each data point 344 345 was averaged over 15 E versus <R> scans. All of the controls and the nonspecific spot 346 had zero signals. (c) Effect of auxiliary ions on reflectivity was measured on the same 347 spot without removing the chip from the sample chamber. First the experiment with no [Fe(CN)<sub>6</sub>]<sup>4-</sup> (in PB buffer) was conducted, followed by a vigorous wash with distill water 348 before the second experiment containing [Fe(CN)<sub>6</sub>]<sup>4-</sup> in PB buffer. 349

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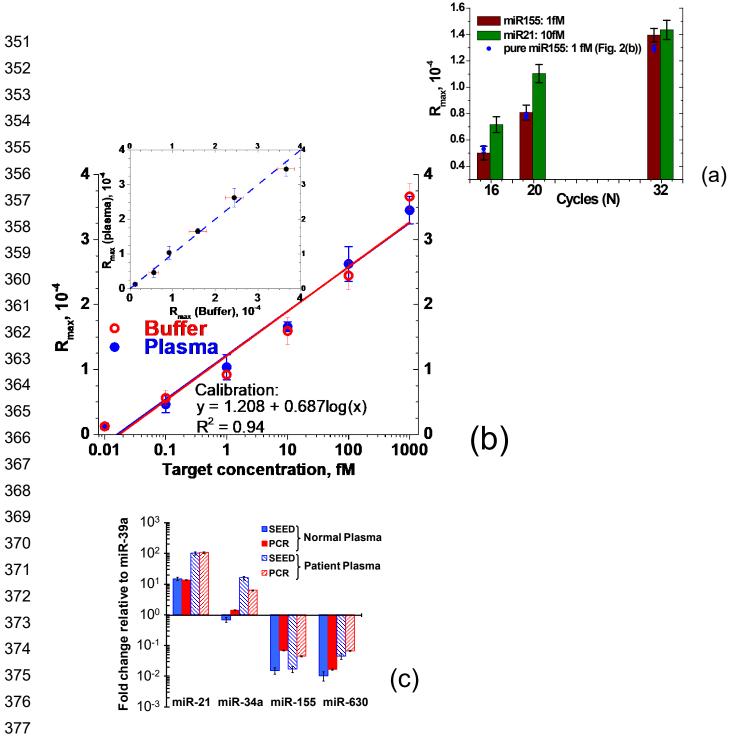


Figure 3: (a) The R<sub>max</sub> on a chip for specific binding of miR155 and miR21 with five
background miRNA each at 1 pM concentration. (b) Comparison of R<sub>max</sub> for miR39a in
standard EREB buffer (buffer) and spiked in extracted RNA from plasma and
reconstituted in identical buffer (plasma). Inset: Comparison of signal from pure and
plasma samples with a miR39a concentration ranging from 0.01 to 10<sup>3</sup> fM. (c)
Comparison of patient and healthy plasma for four miRNA by qPCR and SEED (on a
single chip).

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446	Supporting Information (SI)			
447	PCR-Free, Label-Free Quantitative Electrochemical Microarray			
448	on a Monolith Electrode			
449	Shobana. Raghunath, <sup>1</sup> Abhijeet Prasad, <sup>2,1</sup> R. Tevatia, <sup>2</sup> Jillian.R. Gunther, <sup>3</sup> S. Roy, <sup>1</sup>			
450	Sunil Krishnan, <sup>3</sup> R.F. Saraf <sup>2,*</sup>			
451 452 453	<sup>1</sup> Vajra Instruments, Inc, Lincoln, NE 68512; <sup>2</sup> Chemical Engineering, University of Nebraska, Lincoln, NE 68588; <sup>3</sup> MD Anderson Cancer Research Center, Houston, TX 77030 *rsaraf2@unl.edu			

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#### 456 S.1 Overall summary of all the steps used in the technology

457 Further details on various steps described are in the various sections below.

458 Chip Fabrication: The microarray was made on a 1.2 by 1.2 cm Si chip with Au 459 electrodes and accompanying circuitry for power and signal on ~200 nm SiO<sub>2</sub> to provide 460 an insulating surface. (Fig. 1(a)). The chip was cleaned in acetone, water, and ethanol 461 followed by  $O_2$  plasma and subsequently immersed in piranha solution for ~60 sec. The 462 chip was dried by blowing clean N<sub>2</sub> from a 0.2 µm filter. Photoresist SU8 (Microchem 463 2025) was diluted by adding three times the volume of cyclopentanone which was spin 464 cast on the chip at 3,000 rpm for 60 sec. to produce an ~ 500-nm thick film. The chip was 465 prebaked for 45 sec. at 80 °C. The film was exposed to Xe light (300 W) for 45 sec. 466 through a contact mask with a Cr metalized pattern on quartz. The chip was post-baked 467 for 45 sec. at 80 °C and developed in Microchem SU8 developer. The chip was then 468 washed with water/isopropanol for ~ 60 sec and hard baked at 140 °C for 2 hr. The 469 resulting pattern on each electrode was a microarray of 50 µm holes exposing the 470 underlying Au electrode.

471 **Probe Immobilization:** The immobilization on the spots was obtained by locally 472 dispensing 5 µM solution of the probe in 1 M of phosphate buffer (PB) using an Arrayit<sup>®</sup> 473 capillary pin with tip of ~20 µm (Fig. S2). The 5 nL solution dispensed on each spot was 474 held by surface tension (Fig. S3). Each spot of the chip was exposed to the probe solution 475 drop at 19 °C in a humidified chamber to avoid evaporation during the immobilization 476 process for at least 16 hrs. The actual exposure was for only 2 hrs. The chip was washed 477 and the process repeated again to obtain higher immobilization density. All of the 478 solutions and the washing were performed using RNAse-free water (Invitrogen).

479 **Electrochemical Redox-Enhanced Binding (EREB) Process:** Subsequent to vigorous 480 washing, EREB was performed in a (10 aM to 1 pM) solution of target molecules in 100 481 mM of phosphate buffer (PB) with 50 mM of auxiliary ion  $[Fe(CN)_6]^{4-}$  by cyclic voltammetry 482 (CV). The CV ramp potential (V) applied between the working electrode (WE) and the 483 reference electrode (RE) was from -0.4 to 0.3 V at a ramp rate of 50mV/s. As the positive potential attracts the negatively charged target molecules, beyond +220 mV,  $[Fe(CN)_6]^4$ oxidizes to  $[Fe(CN)_6]^{3-}$  causing the EDL to discharge to enhance the electric field penetration from 10<sup>2</sup> nm (at no redox) to typically 10<sup>0</sup> µm (during redox reaction).<sup>1,2</sup> To note is that the electric-field-influenced binding has been demonstrated before.<sup>3</sup> The novelty in this method is the significant enhancement in binding due to the inclusion of redox ion. The scanning range of EREB was optimized using SEED.

490 **MCH Filling Process:** The MCH coating was one of the critical aspects of the fabrication. 491 The goal was to completely coat all of the exposed Au electrode surfaces after the the 492 target binding step such that there was no signal on all of the three spots of the control 493 electrode: the blank spot and the two spots with (immobilized) P155 and P21. The control 494 electrode was not subjected to EREB, thus the spots had no binding. A signal on the 495 control electrode would imply that the quality of MCH is poor and the signal on the active 496 electrodes (that were subjected to EREB) is not absolute.

497 The MCH immobilization was performed in two steps. The chip was exposed to vapors 498 from a 0.5 mL solution of 10 mM MCH in RNAse-free water (Invitrogen) at 37 °C for 10 hr. 499 The process is called atomic layer deposition (ALD). The chip was dry with no 500 condensation of water during ALD. After rinsing in autoclaved DI water, the chip was then 501 immersed in a 1 mL solution of 10 mM MCH in 30% HPLC grade ethanol for 3 hours with 502 vigorous shaking at 100 rpm in an incubator at 37 °C. The chip was subsequently rinsed 503 and immersed in solution for differential reflectivity measurement. All of the solutions and 504 washing /rinsing was performed in RNAse-free water (Invitrogen). MCH filling is after the 505 EREB process to obtain good binding.

506 Differential Reflectivity Measurements (Scanning Electrometer for Electrical 507 Double-layer (SEED)): Differential reflectivity was performed during CV with a potential 508 (E) from -0.5 to 0.1 V to cover the redox of MB (at ~ -0.2 V). A periodic AC potential of 509 frequency,  $\omega = 2 \text{ kHz}$ , and amplitude of 100 mV were added to the CV ramp. The 510 detector measured a DC signal corresponding to incident intensity, R<sub>0</sub> and an AC signal 511 at  $\omega$  due to oscillation in the reflected light intensity caused by the oscillation of the ions 512 due to the AC potential at  $\omega$ . The amplitude of the AC signal, R<sub>A</sub>, was obtained from the 513 lock-in amplifier tuned to  $\omega$  to measure differential reflectivity, R = R<sub>A</sub>/R<sub>O</sub>, as low as 514 0.001%. The peaks were observed in Fig. 1(e) because the ion oscillation was maximum 515 for E at maximum redox currents owing to deeper penetration of the electric field into the 516 solution.<sup>4</sup>

qPCR Analysis: Total RNA was extracted using a standard kit (RNeasy Plus kit from QIAGEN). The total RNA was converted to cDNA using First Strand Synthesis kit (Clontech Lab., Inc). SYBR<sup>™</sup> Green method (Clontech Lab., Inc) was adopted to perform the cDNA synthesis and qPCR measurements on the qPCR machine (QuantStudio<sup>™</sup> 3 RT-PCR, ABI, USA). Briefly, 3.75 µL of a standard solution with known concentration was added to reverse transcriptase buffer and enzyme (the final reaction volume was 10 µL).

The reaction was allowed to incubate at 37 °C for one hour, followed by denaturation of 523 524 the RT enzyme at 85 °C for five minutes. The synthesized cDNA was diluted by 10-fold. 525 A 0.8 µL portion was added to the master stock (SYBR Advantage Premix, ROX, miRNA-526 specific 5' and 3' primers) resulting in the final volume of 10 µL. Melting curves on qPCR 527 products were also generated to confirm specificity of the amplification. After qPCR, the 528 data was analyzed while setting the threshold fluorescence to 0.059 arbitrary units. The 529 threshold was set to a constant for biological replicates. Based on Ct values, the relative 530 fold change in targeted miRNAs was calculated. Quantification of miRNA copy number 531 was calculated from standard curve. For the standard curve, at least 10<sup>6</sup> copies are 532 needed in the RT mix, consistent with the literature (see Fig. S9 in Section S.9).<sup>5</sup>

#### 533 S.2 Chip Fabrication

534 The microarray was made on a 1.2 by 1.2 cm Si chip with Au electrodes and accompanying circuitry for power and signal (Fig. 1(a)). The chip is passivated with ~200 535 536 nm SiO<sub>2</sub> to provide an insulating surface. The chip was coated with SU8 photoresist to 537 make seven and three 50-µm holes on the longer and shorter electrodes, respectively, 538 using a standard photolithography process (Fig. S1). Briefly, the chip was cleaned in 539 acetone, water, and ethanol followed by O<sub>2</sub> plasma and subsequently immersed in 540 piranha solution for ~60 sec. The piranha solution was freshly prepared by mixing  $H_2SO_4$ 541 in  $H_2O_2$  at a volume ratio of 3:1, respectively. The chip was dried by blowing clean  $N_2$ 542 from a 0.2 µm filter. Photoresist SU8 (Microchem 2025) was diluted by adding three times 543 the volume of cyclopentanone which was spin cast on the chip at 3000 rpm for 60 sec. to 544 produce an ~500-nm thick film. The chip was prebaked for 45 sec. at 80 °C. The film was 545 exposed to Xe light (300 W) for 45 sec. through a contact mask with a Cr metalized pattern 546 on guartz. The chip was post-baked for 45 sec. at 80 °C and developed in Microchem 547 SU8 developer for ~5 min. with intermittent sonication for 20 sec (three times in the 548 process). The chip was then washed with water/isopropanol for ~60 sec and hard baked 549 at 140 °C for 2 hr.

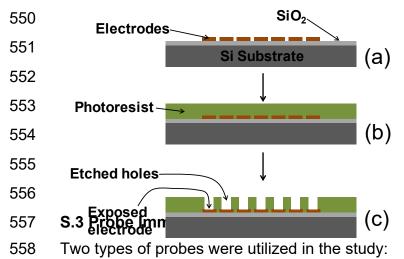


Figure S1: Cross-sectional view of the chip defined by A-A' in Fig. 1(a). Broadly, the photolithography had the following steps: (a) initial chip with electrodes; (b) after spin coating SU-8 photoresist; and (c) the chip after exposure to light and development to obtain a pattern of etched holes.

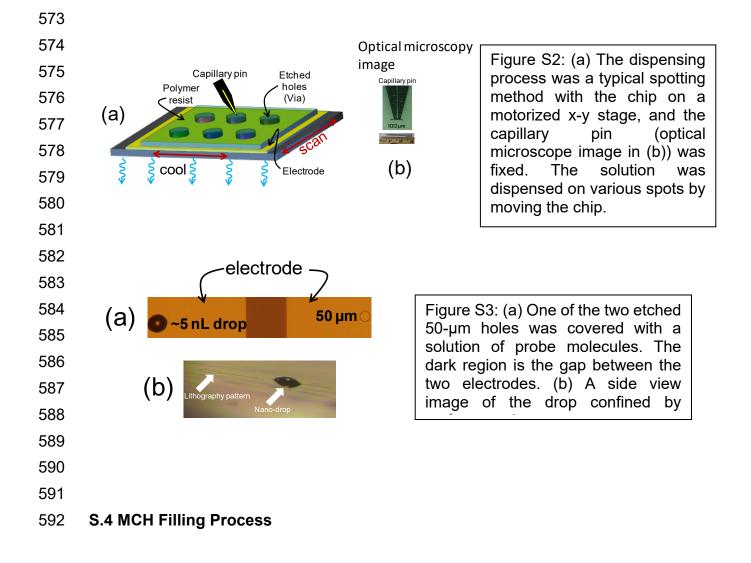
## • P155: 5'-HS-(CH<sub>2</sub>)<sub>6</sub>-AAA- ACC CCT ATC ACG ATT AGC ATT AA 3'

- P21: 5'-HS-(CH<sub>2</sub>)<sub>6</sub>-AAA-TCA ACA TCA GTC TGA TAA GCT A-3'
- 561 The corresponding specific targets were:

572

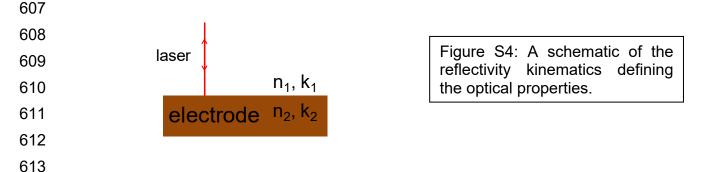
- miR-155: 5' TTA ATG CTA ATC GTG ATA GGG GT 3'
- miR-21: 5' TAG CTT ATC AGA CTG ATG TTG A 3'

564 The immobilization on the spots was obtained by locally dispensing 5 µM solution of the 565 probe in 1 M of phosphate buffer (PB) using an Arravit<sup>®</sup> capillary pin (Fig. S2(a)). The capillary size of the pin was ~20 µm (Fig. S2(b), optical microscope image). The 5 nL 566 567 solution dispensed on each spot was held by surface tension (Fig. S3). Each spot of the chip was exposed to the probe solution drop at 19 °C in a humidified chamber to avoid 568 569 evaporation during the immobilization process for 2 hr. The chip was washed and the 570 process repeated again to obtain higher immobilization density. All of the solutions and 571 the washing were performed using RNAse-free water (Invitrogen).



593 The MCH coating is one of the most critical aspects of the fabrication that decides the 594 specificity and quality of measurement (see Section S.6 discussed later). The goal was 595 to completely coat all of the exposed Au electrode surfaces after the the target binding 596 step such that there was no signal on all of the three spots of the control electrode: the 597 blank spot and the two spots with (immobilized) P155 and P21. The control electrode was 598 not subjected to EFIB, thus the spots had no binding.

599 The MCH immobilization was performed in two steps. The chip was exposed to vapors from a 0.5 mL solution of 10 mM MCH in RNAse-free water (Invitrogen) at 37 °C for 10 hr. 600 The process is called atomic layer deposition (ALD). The chip was dry with no 601 602 condensation of water during ALD. After rinsing in autoclaved DI water, the chip was immersed in 1 mL solution of 10 mM MCH in 30% HPLC grade ethanol for 3 hours with 603 vigorous shaking at 100 rpm in an incubator at 37 °C. The chip was subsequently rinsed 604 605 and immersed in solution for differential reflectivity measurement. All of the solutions and 606 washing /rinsing was performed in RNAse-free water (Invitrogen).



### 614 S.5 Oscillation of Reflected Light

615 Let  $(n_1,k_1)$  and  $(n_2,k_2)$  be the real and imaginary refractive index of the solution and 616 electrode, respectively (Fig. S4), where  $k_i = (\lambda/4\pi)\alpha_i$  (where  $\alpha_i$  is the absorption coefficient 617 of the material at wavelength,  $\lambda$ , of the incident light). In the most simplified case, it is 618 assumed that there is no concentration gradient, thus n<sub>1</sub> is not a function of x. The assumption is not good; however, the analytical solution captures the principle of the 619 620 measurement, i.e., the modulation of the reflectivity as average n<sub>1</sub> oscillates due to 621 applied potential on the electrode. From Fresnel's law, the reflectivity, r, at normal 622 incidence is,

623

624 
$$r = \frac{(n_1 - n_2) - i(k_1 - k_2)}{(n_1 + n_2) - i(k_1 + k_2)}$$
(1)

625

626 Thus, the magnitude of reflectivity is,

627 
$$|r|^{2} = \left| \frac{\left\{ (n_{1}^{2} - n_{2}^{2}) - (k_{1}^{2} - k_{2}^{2}) \right\}^{2} + 4(n_{1}k_{1} - n_{2}k_{2})^{2}}{\left\{ (n_{1} + n_{2})^{2} + (k_{1} + k_{2})^{2} \right\}^{2}} \right|^{2}$$
(2)

The refractive index is given by,  $n_1 = n_w + (dn/dc)c$ , where c is a concentration of various ions, dn/dc is the corresponding differential refractive index, and  $n_w$  is the refractive index of water. The details of the second term are not so critical, however, because the 631 polarizability of the anion is larger than the cation, the refractive index modulation of the 632 former will dominate over the latter. For small modulation,  $n_1 = n_w + \langle dn/dc \rangle c > +$ 633 (dn/dc)δc, where δc is the perturbation around the equilibrium concentration profile due 634 to the AC potential at frequency,  $\omega$ . Generally,  $\delta c = \delta c_0 \cos(\omega t + \alpha)$ , where  $\delta c_0$  is the 635 amplitude of the ion oscillation and  $\alpha$  is the phase difference between the applied potential 636 and the ion oscillation. The phase difference is primarily due to viscosity effects. The 637 details of the constants and the composition of the solution is not as critical. The important 638 aspect is the application of the AC potential the concentration oscillates. Thus, the optical 639 property of the solution is,

640

$$n_1 = \langle n_w + \frac{dn}{dc}c \rangle + B\cos(\omega t + \alpha)$$
(3)

643 where the first term in <...> is a time-independent quantity that will change with x and is 644 complex in the sense it depends on concentration profiles of various ionic species. B is 645 modified amplitude that includes  $\delta c_0$  and the differential refractive index. As the interest 646 is to measure change in B as a function of applied ramp potential, E, the chemical details 647 of B are not critical.

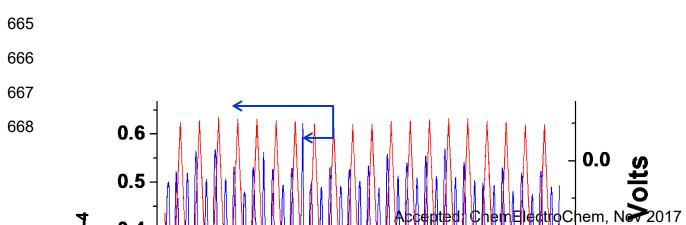
- 648 By substituting Equation (3) in (1) and linearizing with only first order terms, i.e., no higher 649 order harmonics,  $2\omega$ ,  $3\omega$ , and so on, the AC component of the reflectivity is,
- 650 651

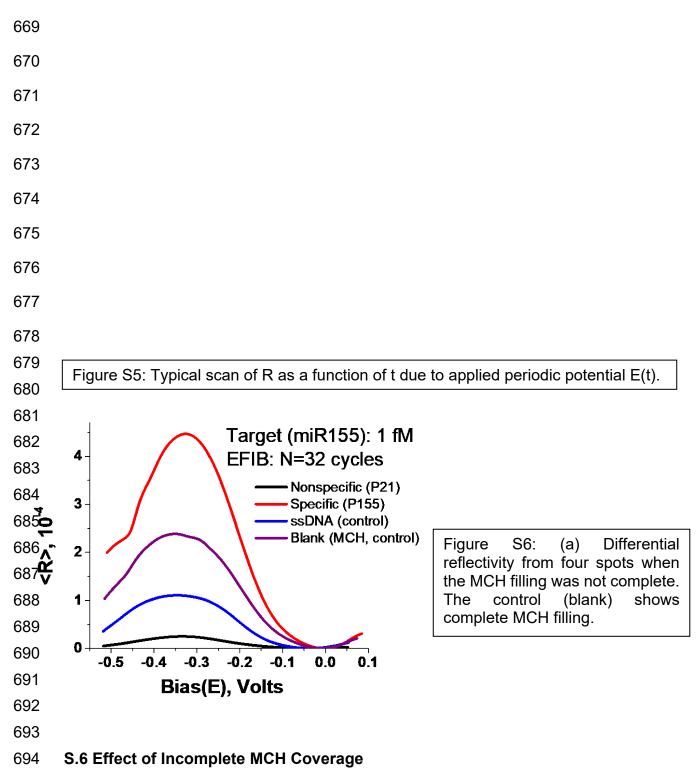
 $R = K + Q\delta c_o \tag{4}$ 

652

653 where K and Q are based on time-independent (i.e., equilibrium) optical properties of 654 the solution and the electrode. Importantly, the differential reflectivity has a baseline 655 (i.e., K) signal. The assumption of the linearity of the system is experimentally justified 656 because the higher harmonics are over three orders of magnitude lower.

- 657 The principle is that at redox, due to discharge in the interfacial layer caused by electron 658 exchange, the field penetration increases. As a result  $\delta c_0$  increases during redox current. 659 Thus, from Equation (4), the potential, E, where R is maximum, will coincide with where 660 the current due to oxidation and reduction reaction is highest.
- 661 The typical oscillation of the amplitude of reflectivity (R) at the detector (see Fig. 1(c)) as 662 a function of periodic applied bias (E) on the WE with respect to the solution showed good 663 periodicity with respect to E cycles (Fig. S5). A small portion of the scan is shown in Fig. 664 1(d).





Every chip was tested for quality of MCH coverage by measuring the signal on the three spots on the control electrode that were not subjected to EREB potential. The internal verification was critical to the quality of the data. The differential reflectivity measurement performed on the three sets of spots on the two control electrodes was blank (Au electrode coated with MCH); the spot with P155 was filled with MCH (ssDNA); and the 700 spot with P21 was filled with MCH (similar to ssDNA). In a chip with poor coverage, the 701 blank and ssDNA show a peak due to MB redox due to pinholes (Fig. S6). As a result, 702 the signal from a nonspecific spot was also positive indicating the specificity was not 703 100% (Fig. S6). Interestingly, the signal from a specific spot (i.e., spot with immobilized 704 P155) was over threefold higher compared to the signal if the specificity was 100% (Fig. 705 2(a)) due to redox through the pinholes in the MCH monolayer. Such a chip was rejected 706 for the study. The analysis on the control was performed for every chip prior to making 707 the measurements on the five larger electrodes. A signal on the control spots will lead to 708 poor specificity, and the specific signal would erroneously be considered over 3-fold 709 higher. The spots with P155 and P21 probes on the control electrode showing no redox 710 indicated that the signal was zero, if no binding occurred (i.e., ssDNA in Fig. 1(e)). Thus, 711 the R<sub>max</sub> reported here is an absolute measurement of the amount of specific binding. 712 MCH deposition was after EREB.

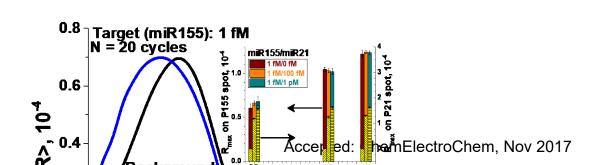
713

### 714 S.7 Mixture Analysis

715 A binary miRNA mixture was studied where the concentration of the nonspecific target, 716 miR21, was 10<sup>3</sup>-fold larger. The effect of background miR21 showed that the signal for 717 specific binding of miR155 was unchanged (Fig. S8). On the same chip with EFIB at N = 718 20, 28, and 32 (Fig. S8, inset), for the pure miR155 target (first bar for each N), the R<sub>max</sub> 719 on the P21 spot was zero (hatched bar), indicating 100% specificity, while R<sub>max</sub> on the 720 P155 spot (solid bar) was consistent with the calibration in Fig. 2(b). For each N, the 721 signal for miR155 on the P155 spot (solid bar) was constant irrespective of the amount of 722 miR21, indicating no interference from the latter. The signal for the 1 pM miR21 target on 723 the P21 spot (third hatch bar for each N) was constant for all N, indicating saturation, as 724 expected from Fig. 2(b).

For mixture analysis, a synthetic nonspecific probe sequence that did not match any of the known homo sapiens (hsa) mRNA sequences was used for the study. The sequence of the nonspecific probe was:

- 5' ThioMC6-D/ GCA ATA ATG CTC TTT TTC AT 3'
- The sequences of the five background miRNA used for Fig. 3(a) were:
- 730 miR 145: 5' GTCCAGTTTTCCCAGGAATCCCT 3'
- 731 miR 29a: 5' ACTGATTTTCTTTTGGTGTTCAG 3'
- 732 miR 630: 5' AGTATTCTGTACCAGGGAAGGT 3'
- 733 miR 34a: 5' TGGCAGTGTCTTAGATGGTTGT 3'
- 734 miR 16-1: 5' TAGCAGCACGTAAAATATTGGCG 3'
- Targets, miR155 (1 fM) and 21 (10 fM), were measured simultaneously in a background of these five miRNA each with a concentration of 1 pM.
- 737
- 738
- 739



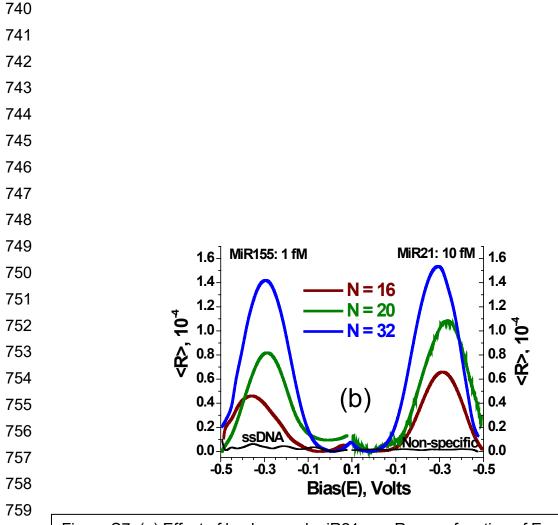


Figure S7: (a) Effect of background miR21 on <R> as a function of E on the same chip for specific binding to P155 and controls. Inset: A histogram of R<sub>max</sub> for three chips measuring specific binding of a mixture to P155 and P21 spots. For each chip, EFIB was performed at three N each. The R<sub>max</sub> data for miR155 and mi21 targets were each averaged over 15 and 6 points, respectively. (b) Typical <R> as function of E on the same chip for specific binding to P155 and P21 spots and the controls.

764

## 765 S.8 QPCR and SEED Analysis of miR39a for C. Elegans

766 The standard curve was obtained by making solutions of known amount of synthetic 767 ssRNA of *C. elegans* miR39a (IDT, Inc., USA) target in sterile DNase/RNase-free water 768 (Invitrogen, USA). SYBR Green method (Clontech Lab., Inc) was adopted to perform the 769 cDNA synthesis and qPCR measurements on the qPCR machine (QuantStudio 3 RT-770 PCR, ABI, USA). In brief, 3.75 µL of a standard solution with known concentration was added to reverse transcriptase buffer and enzyme (the final reaction volume was 10 µL). 771 The reaction was allowed to incubate at 37 °C for one hour, followed by denaturation of 772 773 the RT enzyme at 85 °C for five minutes. The synthesized cDNA was diluted by 10-fold. 774 A 0.8 µL portion was added to the master stock (SYBR Advantage Premix, ROX, miRNA-

specific 5' and 3' primers) resulting in the final volume of 10 µL. Melting curves on qPCR products were also generated to confirm specificity of the amplification. After qPCR, the data was analyzed while setting the threshold fluorescence to 0.059 arbitrary units. The mean values of CT were plotted as a function number of copies provided for reverse transcription to synthesize the cDNA (Fig. S9). <u>Thus, the CT value corresponds to the number of miR39a mixed in the 3.75 µL solution.</u> The standard curve was fit to the linear region of the semi-log plot.

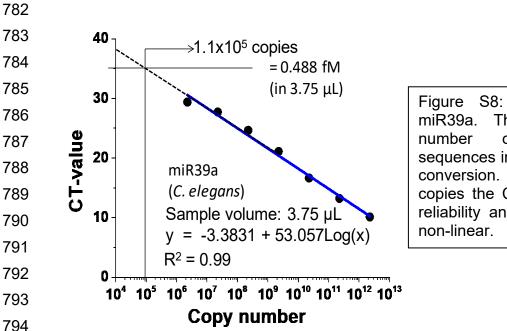


Figure S8: Standard curve of miR39a. The copy number is number of targer miRNA sequences in the RT-mix for cDNA conversion. Below 10<sup>6</sup> number of copies the CT valu is too low for reliability and the curve becomes non-linear.

795 To demonstrate the sensitivity and specificity for a complex biological sample, about ~14 796  $\mu$ L of total RNA was extracted from ~200  $\mu$ L of plasma from a healthy human subjects 797 using a standard kit (RNeasy plus kit from Qiagen). The sample was reconstituted in 300 µL of PB buffer for EREB and spiked with synthetic miR39a of C. elegans at 798 799 concentrations ranging from 0.01 to 10<sup>3</sup> fM. No miR39a is present in the human plasma 800 sample. As the A-tail ligation, cDNA conversion and gPCR steps are avoided, direct 801 binding of the miRNA to ssDNA probe is performed at 4 °C. For ssRNA (i.e., miRNA) as a target at low temperature, the EREB conditions are optimized to -0.4V to 0.5 V. Rmax 802 increases monotonically as the concentration of spiked miR39a increases, however, the 803 804 signal is typically twofold larger than for ssDNA targets in Fig. 3(b). The signals from 805 plasma (y-axis) and pure (x-axis) miR39a are literally identical along the 45° line (Fig. 806 3(b), inset).

Further to demonstrate multiplexing, we detect the presence of four circulating miRNAs (155, 21, 630 and 34a) in plasma and compare SEED results with QPCR. To precisely determine the accuracy of SEED signal conversion to the miRNA copies, we estimated <Rmax> based relative fold change for different miRNA in the normal and colorectalcancer patient plasma samples, and compared with the gold standard PCR. The known amount of miR-39a (C. elegance miR control) was spiked in eluted RNA solution to the final concentration of 1 pM. Aliquot of the spiked RNA from the same RNA samples was 814 used to perform SEED and PCR so that both procedures start with the same solution to 815 avoid any statistical inconsistencies.

816 We calculated the relative fold change of miRNA to 39a using the following equations:

817 a) Relative fold change using PCR ~  $2^{\Delta Ct} \sim \frac{[miRNA]}{[39a]}$ 

818 b) Relative fold change using SEED  $\sim 2^{log_2^{10}(\Delta R_{max})} \sim \frac{[miRNA]}{[39a]}$ 

819 where, [...] is molar concentration, which is equivalent to copy number.

820 **Table:** Comparative analysis of SEED and PCR based relative fold changes in multiple

821 miRNAs of the normal and colorectal cancer patient plasma.

822

S.No.	Sequence	SEED		PCR		SEED/PCR
		R <sub>max</sub>	Fold change relative to miR-39a	Ct values	Fold change relative to miR-39a	-
a) Norr	nal Plasma					
1.	miR-21	3.14 ± 0.26	14.76 ± 1.71	22.03 ± 0.48	13.61 ± 0.56	1.09 ± 0.14
2.	miR-34a	2.13 ± 0.33	0.69 ± 0.12	25.29 ± 0.28	1.41 ± 0.05	$0.49 \pm 0.09$
3.	miR-155	0.88 ± 0.19	$0.02 \pm 0.003$	29.66 ± 0.32	0.07 ± 0.003	0.22 ± 0.05
4.	miR-630	0.76 ± 0.23	0.01 ± 0.003	31.71 ± 0.13	0.02 ± 0.001	$0.63 \pm 0.20$
b) Patie	ent Plasma					
1.	miR-21	3.77 ± 0.26	99.72 ± 11.20	19.07 ± 0.75	105.64 ± 4.96	0.94 ± 0.12
2.	miR-34a	3.16 ± 0.35	15.80 ± 2.22	23.16 ± 0.45	6.20 ± 0.19	2.55 ± 0.37
3.	miR-155	0.92 ± 0.19	0.02 ± 0.003	30.28 ± 0.91	0.04 ± 0.002	0.38 ± 0.09
4.	miR-630	1.24 ± 0.25	0.04 ± 0.009	29.71 ± 0.46	0.07 ± 0.002	0.67 ± 0.14

823

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